

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

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In re : **Chapter 11 Case No.**
:
LEHMAN BROTHERS HOLDINGS INC., et al., : **08-13555 (SCC)**
:
Debtors. : **(Jointly Administered)**
:
: **Ref. Docket Nos. 42151, 43092,**
: **44128, 44130, 44131, 44157, 44160,**
: **44164, 44177-44181, 44183, 44184,**
: **44186, 44187, 44189, 44193, 44194,**
: **44202-44206, 44209, 44216-44219,**
: **44221, 44222, 44234, 44236, 44238,**
: **44252, 44253, 44270-44273, 44278**
-----x-----
In re : **Chapter 11 Case No.**
:
LEHMAN BROTHERS SPECIAL FINANCING INC., : **08-13888 (SCC)**
:
Debtors. : **(Jointly Administered)**
:
:
:
-----x-----

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 13, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
22nd day of May, 2014

/s/ Panagiotis Caris

Notary Public, State of New York
No. 01CA6237515

Qualified in Queens County
Commission Expires March 21, 2015

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.
08-13555 (SCC)
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ASA NEW YORK TAX ADVANTAGED FUND L.P.
C/O ASA NEW YORK MANAGERS LLC
ATTENTION: ROBERT D. ALBRIGHT JR.
601 CARLSON PARKWAY, SUITE 1125
MINNETONKA MN 55305

ASA NEW YORK TAX ADVANTAGED FUND L.P.
SIDLEY AUSTIN LLP
ATTN: LEE S. ATTANASIO & ALEX R. ROVIRA
787 SEVENTH AVENUE
NEW YORK NY 10019

Please note that your claim # 23543 in the above referenced case and in the amount of
\$2,223,485.00 allowed at \$1,843,850.00 has been transferred (**unless previously expunged by court order**)

SONAR CREDIT PARTNERS III, LLC
TRANSFEROR: ASA NEW YORK TAX ADVANTAGED FUND L.P.
200 BUSINESS PARK DRIVE, SUITE 201
ARMONK, NY 10504

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 44130 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 05/13/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epic Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 13, 2014.

EXHIBIT B

Name	Address	TRANSFEROR	ATTN:
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O ALDEN GLOBAL CAPITAL, LLC	ATTN: ITHRAN OLIVACCE NEW YORK NY 10022	
ALEITER HOLDINGS LLC	DAVID J. KARP ALEITER HOLDINGS LLC 919 THIRD AVENUE NEW YORK NY 10022		
ALEITER HOLDINGS LLC	DAVID J. KARP SCHULTE ROTH & ZABEL LLP 919 THIRD AVENUE NEW YORK NY 10022		
ALEITER HOLDINGS LLC	DAVID J. KARP SCHULTE ROTH & ZABEL LLP 919 THIRD AVENUE NEW YORK NY 10022		
ALEITER HOLDINGS LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC. C/O CHAPMAN AND CUTLER LLP 1270 AVENUE OF THE AMERICAS, 30TH FLOOR NEW YORK NY 10020-1708		
ALEITER HOLDINGS LLC	TRANSFEROR: GOLDMAN SACHS & CO. C/O CHAPMAN AND CUTLER LLP 1270 AVENUE OF THE AMERICAS, 30TH FLOOR NEW YORK NY 10020-1708		
ALEITER HOLDINGS LLC	TRANSFEROR: JPMORGAN SECURITIES LLC C/O CHAPMAN AND CUTLER LLP 1270 AVENUE OF THE AMERICAS, 30TH FLOOR NEW YORK NY 10020-1708		
ALEITER HOLDINGS LLC	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. C/O CHAPMAN AND CUTLER LLP 1270 AVENUE OF THE AMERICAS, 30TH FLOOR NEW YORK NY 10019		
ASA NEW YORK TAX ADVANTAGED FUND L.P.	SIDLEY AUSTIN LLP ATTN: LEE S. ATTANASIO & ALEX R. ROVIRA 787 SEVENTH AVENUE NEW YORK NY 10019		
ASA NEW YORK TAX ADVANTAGED FUND L.P.	C/O ASA NEW YORK MANAGERS LLC ATTENTION: ROBERT D. ALBRIGHT JR., 601 CARLSON PARKWAY, SUITE 1125 MINNETONKA MN 55305		
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: HALCYON LOAN TRADING FUND LLC C/O BANK OF AMERICA MERRILL LYNCH ATTN: ANTE JAKIC/GARY S. COHEN, RYAN WEDDLE BANK OF AMERICA TOWER, 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036		
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: HALCYON LOAN TRADING FUND LLC C/O BANK OF AMERICA MERRILL LYNCH ATTN: ANTE JAKIC/GARY S. COHEN/RYAN WEDDLE BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036		
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: LEHMAN BROTHERS ASIA CAPITAL COMPANY C/O BANK OF AMERICA MERRILL LYNCH; ATTN: GARY S. COHEN/ANTE JAKIC BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036		
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. C/O BANK OF AMERICA MERRILL LYNCH ATTN: JEFF BENESH / RON TOROK / RYAN WEDDLE BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036		
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. C/O BANK OF AMERICA MERRILL LYNCH ONE BRYANT PARK 3RD FL; ATTN: JEFF BENESH NEW YORK NY 10036		
BANCA MONTE DEI PASCHI DI SIENA S.p.A.	TRANSFEROR: CREDITO EMILIANO S.p.A. ATTN: VALERIA VIGANO VIA ROSELLINI 16 MILANO 20124 ITALY		
BANK J. SAFRA SARASIN LTD.	TRANSFEROR: CLEARLY GOTTLIEB STEEN & HAMILTON LLP ATTN: ANDREA FERRARI CUSTODY ADMINISTRATION VIA MAGATTI 2 LUGANO 6900		
BANK J. SAFRA SARASIN LTD.	TRANSFEROR: RBS COUTTS BANK AG BSI SA ATTN: ANDREA A. BERNSTEIN, ESQ. 12, RUE DE TILSIT PARIS 75008 FRANCE		
BANK J. SAFRA SARASIN LTD.	TRANSFEROR: OC 530 OFFSHORE FUND, LTD. ATTN: BRITAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720		
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: CLAIMS PROCESSING (BANKRUPTCY) 1370 AVENUE OF THE AMERICAS, 29TH FLOOR		
BANK OF AMERICA, N.A.	TRANSFEROR: STANDARD CHARTERED BANK C/O BANK OF AMERICA MERRILL LYNCH ATTN: GARY S. COHEN/ANTE JAKIC BANK OF AMERICA TOWER, 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036		
BANKHAUS CARL F. PLUMP & CO AG	TRANSFEROR: UBS AG AM MARKT 19 BANKHAUS CARL F. PLUMP BREMEN 28195		
BSI BANK SA, LUGANO	TRANSFEROR: RBS COUTTS BANK AG BSI SA ATTN: ANDREA FERRARI CUSTODY ADMINISTRATION VIA MAGATTI 2 LUGANO 6900		
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: OC 530 OFFSHORE FUND, LTD. ATTN: BRITAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720		
CORRE OPPORTUNITIES FUND, L.P.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: CLAIMS PROCESSING (BANKRUPTCY) 1370 AVENUE OF THE AMERICAS, 29TH FLOOR		
CORRE OPPORTUNITIES FUND, L.P.	NEW YORK NY 10019		
CORRE OPPORTUNITIES FUND, L.P.	TRANSFEROR: MERIDIAN DIVERSIFIED PORTABLE ALPHA FUND LTD ATTN: CLAIMS PROCESSING (BANKRUPTCY) 1370 AVENUE OF THE AMERICAS, 29TH FLOOR		
CORRE OPPORTUNITIES FUND, L.P.	NEW YORK NY 10019		
CORRE OPPORTUNITIES FUND, L.P.	TRANSFEROR: STERNE AGEE UK LLP ATTN: CLAIMS PROCESSING (BANKRUPTCY) 1370 AVENUE OF THE AMERICAS, 29TH FLOOR		
CORRE OPPORTUNITIES FUND, L.P.	NEW YORK NY 10019		
CORRE OPPORTUNITIES FUND, L.P.	TRANSFEROR: YORVIK PARTNERS LLP C/O CORRE PARTNERS MANAGEMENT, LLC ATTN: ERIC SODERLUND 1370 AVENUE OF THE AMERICAS. 29TH FLOOR		
CORRE OPPORTUNITIES FUND, L.P.	NEW YORK NY 10019		
CORRE OPPORTUNITIES FUND, L.P.	TRANSFEROR: CORRE OPPORTUNITIES FUND, L.P. ATTN: CLAIMS PROCESSING (BANKRUPTCY) 1370 AVENUE OF THE AMERICAS, 29TH FLOOR		
CREDIT SUISSE AG	NEW YORK NY 10019		
CREDIT SUISSE AG	TRANSFEROR: UBS AG ATTN: ALLEN GAGE 1 MADISON AVENUE NEW YORK NY 10010		
CREDIT SUISSE AG	TRANSFEROR: UBS AG ATTN: RICHARD LEVIN CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG, HONG KONG BRANCH	RICHARD LEVIN, JENNIFER BOSHKAYA CRAVATH, SWAINE & MOORE LLP 825 8TH AVE NEW YORK NY 10019		
CREDIT SUISSE AG, HONG KONG BRANCH	TRANSFEROR: BANK J. SAFRA SARASIN LTD, ONE RAFFLES LINK #05-02, SOUTH LOBBY 039393 SINGAPORE		
CREDIT SUISSE SINGAPORE BRANCH	1 RAFFLES LINK #05-02 SINGAPORE 039393 SINGAPORE		
CREDIT SUISSE SINGAPORE BRANCH	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010		
CREDIT SUISSE SINGAPORE BRANCH	CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019		
CREDIT SUISSE SINGAPORE BRANCH	399 PARK AVENUE, 10TH FLOOR NEW YORK NY 10022		
CREDIT SUISSE SINGAPORE BRANCH	399 PARK AVENUE, 10TH FLOOR NEW YORK NY 10022		
CREDIT SUISSE S.P.A.	REGGIO EMILIA 42100 ITALY		
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: PMT CREDIT OPPORTUNITIES FUND LTD. C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHALDITH 60 WALL STREET		
ETON PARK FUND, LP	NEW YORK NY 10005		
ETON PARK MASTER FUND, LTD.	399 PARK AVENUE, 10TH FLOOR NEW YORK NY 10022		

Name	Address
FAIRWAY FUND LIMITED FIB BANK (SWITZERLAND) LTD FORE MULTI STRATEGY MASTER FUND, LTD. GOLDMAN SACHS & CO. GOLDMAN, SACHS & CO. HAYMAN CAPITAL MASTER FUND, L.P. HERWIG VANROMME J.P. MORGAN SECURITIES LLC	C/O SEWARD & KISSEL LLP ATTN: LAURIE R. BINDER, ESO, ONE BATTERY PARK PLAZA NEW YORK NY 10004 SEESTRASSE 61 ZURICH CH-8027 SWITZERLAND C/O FORE RESEARCH & MANAGEMENT, LP 510 MADISON AVENUE, 11TH FLOOR NEW YORK NY 10022 TRANSFEROR: C.V.J. G.V.F. (LUX) MASTER S.A.R.L. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: ETON PARK MASTER FUND, LTD. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: ETON PARK FUND, LP ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: J.P. MORGAN SECURITIES LLC ATTN: CHRIS KIRKPATRICK 2101 CEDAR SPRINGS RD, STE 1400 DALLAS TX 75201 TRANSFEROR: CREDIT SUISSE SINGAPORE BRANCH APARTADO 210 ES TRES DESUYASTRES SAN LORENZO/VALEARES 07812 SPAIN TRANSFEROR: HAYMAN CAPITAL MASTER FUND, L.P. ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179 TRANSFEROR: FAIRWAY FUND LIMITED ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 43 NEW YORK NY 10179 TRANSFEROR: FORE MULTI STRATEGY MASTER FUND, LTD. ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE FLOOR 43 NEW YORK NY 10179 TRANSFEROR: GARLAND BUSINESS CORPORATION ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179 TRANSFEROR: BANK OF AMERICA, N.A. FARALLON CAPITAL MANAGEMENT, LLC 1 MARITIME PLAZA #210 SAN FRANCISCO CA 94111 TRANSFEROR: UBS AG NOTENSTEIN PRIVATBANK AG BOHL 17 GALLEN CH-9004 SWITZERLAND TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. C/O FARMSTEAD CAPITAL MANAGEMENT, LLC ATTN: GRAHAM QUIGLEY 7 NORTH BROAD STREET, 3RD FLOOR RIDGEWOOD NJ 07450 TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: LESLIE EVANS C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019 TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP ATTN: LESLIE EVANS 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019 TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP ATTN: LESLIE EVANS 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019 TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O GLG ORE HILL LLC ATTN: RACHEL CARR-HARRIS HSBC TOWER 452 5TH AVENUE, 27TH FLOOR NEW YORK NY 10018 STAUFFACHERSTRASSE 1 POSTFACH ZURICH 8022 SWITZERLAND TRANSFEROR: ASA NEW YORK TAX ADVANTAGED FUND L.P. 200 BUSINESS PARK DRIVE, SUITE 201 ARMONK, NY 10504 BAINHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND ATTN: HUGO KOLLER, CO9C/05GC P.O. BOX ZURICH 8098 SWITZERLAND TRANSFEROR: BANK JULIUS BAER & CO. LTD. BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND TRANSFEROR: FIBI BANK (SWITZERLAND) LTD BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND TRANSFEROR: J.P. MORGAN SECURITIES LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437
RBS COUTTS BANK AG SONAR CREDIT PARTNERS III, LLC UBS AG UBS AG UBS AG VARDE CREDIT PARTNERS MASTER, L.P.	TOTAL NUMBER OF RECORDS PRINTED